Spinell® Financial Services

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14 Belmont Street
BELMONT VIC 3216

PO Box 252
BELMONT VIC 3216

Initial Consultation Information & Disclaimer

People Attending Initial Consultation:

Client Name:	
Address:	
Telephone:	
Email:	

Client Name:	
Address:	
Telephone:	
Email:	

Please tick information sought at initial consultation:

	✓		✓
General investment information	☐	Investment property advice	☐
General superannuation information	☐	Taxation advice	☐
General retirement income information	☐	Business Structure Advice	☐
General aged care information	☐	Self-managed superannuation funds	☐
General social security information	☐	Specific superannuation advice	☐
General estate planning information	☐	Specific wealth accumulation advice	☐
General risk insurance information	☐	Specific social security advice	☐
General wealth accumulation information	☐	Specific advice about another matter	☐

The discussions and information provided during this consultation are general in nature and do not take into account all of your specific circumstances and personal situation and may not be based on sound reasoning, detailed calculations or thorough research. Relying on this general information to make any decisions whatsoever is not recommended. Therefore, to the extent permitted by law Spinell Financial Services Pty Ltd disclaims any and all liability in the event any information, commentary, analysis, opinions, advice and/or recommendations prove to be inaccurate, incomplete or unreliable, or result in any investment or other losses.

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No-one should make strategic or tactical investment decisions without consulting a financial adviser and conducting their own research and due diligence. Advice upon which you may rely will be based on:

- Complete information provided by you to the financial adviser and recorded in a client data form;
- Risk profile questionnaire and analysis to determine your investment risk profile;
- Detailed statement of your goals and objectives and reasons for seeking advice;
- Any other information required to determine your ability to achieve your intended goals and objectives;
- Thorough calculations and research; and
- Presentation of findings in a Statement of Advice (SOA) provided along with:
  - Product Disclosure Statements;
  - Research Reports; and
  - Any other information required by you to make a valid and reliable investment decision.

I/We have read the above and understand that we should not make any decisions as a result of the information, commentary, analysis, opinions, advice or recommendations received during this initial consultation. I/We understand that if I/we wish to receive advice upon which we can rely and which we may implement we will provide the adviser with full and complete information and allow the adviser time to research and present the findings in an SOA.

As acknowledgment of the above and understanding of the fact that Spinell Financial Services Pty Ltd disclaim any and all liabilities associated with the free initial consultation provided we hereby provide our signatures.

I/We agree to these terms and conditions listed above and understand that an initial consultation fee of $220.00 is charged and due on the day of appointment. This fee may be rebated for ongoing work or discounted at the discretion of the Financial Planner. Meet and greets where no actual advice is given is free of charge.

Signature : ______________________ **Signature:** ______________________

Name: **Name:**

Date: **Date:**